FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington,	D.C.	20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL										
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hours per response:	0.5									

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

1. Name and Address of Reporting Person*  Zauderer Maurice						2. Issuer Name and Ticker or Trading Symbol VACCINEX, INC. [ VCNX ]								Relationship of Reporting Person(s) to Issuer (Check all applicable)     X Director X 10% Owner						
(Last) (First) (Middle) C/O VACCINEX, INC. 1895 MOUNT HOPE AVENUE					03/	/20/20	024			•	h/Day/Year)		X Officer (give title Other (specify below)  President and CEO							
1895 MC	_   4. l	f Amei	ndment,	Date	of Origin	al Fil	ed (Month/D	ay/Year)	Lin	,		·	•							
(Street)	STER N		X Form filed by One Reporting Person Form filed by More than One Reporting Person												- 1					
(City)	(St	tate)		Rı	ıle 1	10b5-	-1(c)	) Tran	ısa	ction Inc	dication	)								
			Check this box to indicate that a transaction was made pursuant to a c satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instru																	
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																				
,			2. Transa Date (Month/D		Exe	2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction D		Disposed			Beneficially Owned Followi Reported		1		7. Nature of Indirect Beneficial Ownership (Instr. 4)			
									Code	٧	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)						
Common	Stock					╀								1,58	0	I	)			
Common Stock													1,016		I		By Jeremy C. Zauderer Trust <sup>(1)</sup>			
Common Stock													1,011		I		By Jordan M. Zauderer Trust <sup>(1)</sup>			
Common Stock														99,528		I		By Vaccinex (Rochester), L.L.C. <sup>(2)</sup>		
		7	Table II	- Deriv	ative	Secu	ırities	Acq	uired,	Dis	posed of	, or Ben	eficially	/ Owned						
				(e.g.,	puts,	calls	s, warı	rants	s, optio	ons,	converti	ible sec	urities)							
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deer Executio if any (Month/E		4. Transa Code ( 8)				6. Date Exerc Expiration Da (Month/Day/Y			7. Title and Amo of Securities Underlying Derivative Secu (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)			10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		Beneficial Ownership (Instr. 4)	
					Code	v			Date Exercisa	able	Expiration Date	Title	Amount or Number of Shares							
Warrant (Right to Buy)	\$14							02/08/2024 02		02/08/2029	Common Stock	29,556		29,	556	I		By Vaccinex (Rochester), L.L.C. <sup>(2)</sup>		
Warrant (Right to Buy)	\$32.76								11/02/2023		11/02/2028	Common Stock	9,768	9		9,768 I			By Vaccinex (Rochester), L.L.C. <sup>(2)</sup>	
Warrant (Right to Buy)	\$14								10/03/20	023	10/03/2028	Common Stock	35,714		35,	714	I		By Vaccinex (Rochester), L.L.C. <sup>(2)</sup>	
Stock Option (Right to Buy)	\$3,129								(3)		03/31/2024	Common Stock	12	12		12 D				
Stock Option (Right to Buy)	\$3,129								(3)		06/30/2024	Common Stock	12	12		12 D				
Stock Option (Right to Buy)	\$1,491								(3)		12/23/2025	Common Stock	15		1	5	D			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		4. 5. Number of Ode (Instr. Derivative			6. Date Exerc Expiration Da (Month/Day/\)	ite	7. Title and of Security Underlying Derivative (Instr. 3 and	es g Security	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code			Date Exercisable	Expiration Date	Title	Amount or Number of Shares					
Stock Option (Right to Buy)	\$1,402.8							(3)	02/24/2025	Common Stock	135		135	D	
Stock Option (Right to Buy)	\$615.3							(4)	04/02/2031	Common Stock	66		66	D	
Stock Option (Right to Buy)	\$270.9							(5)	04/01/2032	Common Stock	133		133	D	
Stock Option (Right to Buy)	\$92.26							(6)	03/30/2028	Common Stock	266		266	D	
Stock Option (Right to Buy)	\$9.15	03/20/2024		A		1,877		(7)	03/20/2029	Common Stock	1,877	\$0	1,877	D	

## **Explanation of Responses:**

- 1. Dr. Zauderer exercises voting control over shares held by this trust and disclaims beneficial ownership over these shares except to the extent of his pecuniary interest therein.
- 2. Dr. Zauderer is the president and a majority owner of Vaccinex (Rochester), L.L.C. and disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein.
- 3. Exercisable in full as of the date of this report.
- 4. This option vests one-fourth on each of the first four anniversaries of the April 2, 2021 grant date, except as otherwise provided in the award notice.
- 5. This option vests one-fourth on each of the first four anniversaries of the April 1, 2022 grant date, except as otherwise provided in the award notice.
- 6. This option vests one-fourth on each of the first four anniversaries of the March 31, 2023 grant date, except as otherwise provided in the award notice.
- 7. This option was granted under the Company's 2018 Omnibus Incentive Plan in a transaction exempt under Rule 16b-3 and, except as otherwise provided in the award notice, vests one-fourth on each of the first four anniversaries of the March 20, 2024 grant date.

## Remarks:

 $All\ figures\ in\ this\ Form\ 4\ reflect\ the\ Issuer's\ 1-for-14\ reverse\ stock\ split\ effected\ on\ February\ 19,\ 2024.$ 

/s/ Maurice Zauderer 03/22/2024

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.