FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Leonard John E.						2. Issuer Name and Ticker or Trading Symbol VACCINEX, INC. [ VCNX ]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner  Officer (give title Other (specify						
(Last) (First) (Middle) C/O VACCINEX, INC.						3. Date of Earliest Transaction (Month/Day/Year) 07/24/2020									SVP, Development					
1895 MOUNT HOPE AVENUE						If Amendment, Date of Original Filed (Month/Day/Year)									Joint/Gr	oup Filin	g (Check	Appli	icable	
(Street) ROCHESTER NY 14620					07/27/2020									Line)  X Form filed by One Reporting Person  Form filed by More than One Reporting  Person						
(City) (State) (Zip)																				
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																				
1. Title of Security (Instr. 3)  2. Transact Date (Month/Day					Exe	Deemed ecution Date, ny onth/Day/Year)		3. Transaction Code (Instr. 8)					5. Amoun Securities Beneficial Owned Fo	ly	Form: [ (D) or li	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
									Code	v	Amount	(A) or (D)	Price	Transaction (Instr. 3 au				(iiioti	,	
Common Stock				07/24/2020		20			M		1,258	A	\$7.	1 1,2	58	I	)			
Common Stock 07/				07/27/	/27/2020				M		1,000	A	\$3.	9 2,2	2,258		D			
Common Stock													1,0	1,014				By John Leonard Consulting, LLC		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)	rivative Conversion Date Execution curity or Exercise (Month/Day/Year) if any			ned n Date,	4. Transa	I. Fransaction Code (Instr.		5. Number of		6. Date Exercisa Expiration Date (Month/Day/Yea		7. Title and Amount of Securities Underlying Derivative Securi (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	Derivative derivation Security Security		10. Owners Form: Direct (I or Indire (I) (Instr	hip D) ect	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisa	able	Expiration Date	Title	Amous or Number of Shares	er						
Stock Option (Right to Buy)	\$7.1	07/24/2020			M			1,258	(1)		12/22/2025	Common Stock	1,25	8 \$0	23,	,150	D			
Stock Option (Right to Buy)	\$7.1								(1)		12/23/2025	Common Stock	3,06	2	3,062		D			
Stock Option (Right to Buy)	\$3.9	07/27/2020			M			1,000	(2)		03/14/2029	Common Stock	1,00	0 \$0	3,0	3,000				
Stock Option (Right to Buy)	\$6.07								(3)		02/24/2030	Common Stock	4,00	0	4,0	000	D			

## **Explanation of Responses:**

- 1. Exercisable in full as of the date of this report.
- 2. This option was granted under the Company's 2018 Omnibus Incentive Plan in a transaction exempt under Rule 16b-3 and, except as otherwise provided in the award notice, vests one-fourth on each of the first four anniversaries of the March 15, 2019 grant date.
- 3. This option was granted under the Company's 2018 Omnibus Incentive Plan in a transaction exempt under Rule 16b-3 and, except as otherwise provided in the award notice, vests one-fourth on each of the first four anniversaries of the February 25, 2020 grant date.

## Remarks:

This amendment is being filed solely to add a previously unreported transaction.

Scott E. Royer, Attorney-in-

07/28/2020

**Fact** 

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.