FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Vashington,	D.C. 20	0549	

OMB APPROVAL 3235-0287 OMB Number: Estimated average burden hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5	TEMEN	IT OF	Number: ated average burd	- 11										
obligations may continue. See Instruction 1(b).		Filed		t to Section 16(a) o tion 30(h) of the Inv					34	<u> </u>	hours	per response:	0.5	
1. Name and Address of Reporting Person Frieberg Jacob B.	*			er Name <b>and</b> Ticker CINEX, INC			,			ationship of Re k all applicable Director		g Person(s) to Is		
(Last) (First) C/O VACCINEX, INC.	(Middle)		3. Date 06/30/	of Earliest Transac 2020	tion (Mo	onth/C	ay/Year)			Officer (give below)	e title	Other below)	(specify )	
1895 MOUNT HOPE AVENUE		4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) ROCHESTER NY								X		•	e Reporting Pers re than One Rep			
(City) (State)	(Zip)													
Tak	ole I - Nor	n-Deriva	tive S	ecurities Acqu	ıired,	Disp	osed of, o	r Ben	eficially	Owned				
Date		2. Transac Date (Month/Da	Execution Dat		3. Transaction Code (Instr. 8)		4. Securities A Disposed Of (5)	(A) or		5. Amount of Securities Beneficially Owned Follov Reported Transaction(s	wing	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock								(D)		(Instr. 3 and 4	_	D		

				ľ			Month/D	ay/Yea	ar)   8)			1		- Reporte	d	(I) (Instr. 4)	Ownership (Instr. 4)
									Code	/	Amount	(A) (D)	Price	Transac (Instr. 3			
Common	Stock													52	,866	D	
		T							uired, Dis					Owned			
1. Title of Derivative Security (Instr. 3)  2. Conversion or Exercise Price of Derivative Security		3. Transaction Date Execution if any (Month/Day/Year)		d 4. Date, Transaction Code (Insti		ction	5. Number n of		6. Date Exercis Expiration Date (Month/Day/Yes		ble and	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	Ownersh Form: y Direct (D or Indirec (I) (Instr.	Beneficial Ownership t (Instr. 4)
					Code	v	(A)	(D)	Date Exercisable		xpiration ate	Title	Amount or Number of Shares				
Option (Right to Acquire) <sup>(1)</sup>	(1)								(1)		(1)	Common Stock	4,420		44,200	D	
Option (Right to Acquire) <sup>(1)</sup>	(1)								(1)		(1)	Common Stock	4,420		44,200	I	By Benbow Estates, Ltd. <sup>(2)</sup>
Option (Right to Acquire) <sup>(3)</sup>	(3)								(3)		(3)	Common Stock	4,121		75,000	I	By Gee Eff Services Limited <sup>(4)</sup>
Stock Option (Right to Buy)	\$13.6								(5)	09	9/15/2027	Common Stock	6,396		6,396	D	
Stock Option (Right to Buy)	\$5.26								(5)	03	3/30/2029	Common Stock	2,501		2,501	D	
Stock Option (Right to Buy)	\$7.78								(5)		(6)	Common Stock	7,718		7,718	D	
Stock Option (Right to Buy)	\$5.52								(5)	06	6/29/2029	Common Stock	2,385		2,385	D	
Stock Option (Right to Buy)	\$7.17								(5)	09	0/27/2029	Common Stock	1,856		1,856	D	
Stock Option (Right to Buy)	\$4.85								(5)	12	2/28/2029	Common Stock	2,737		2,737	D	
Stock Option (Right to Buy)	\$4								(5)	03	3/29/2030	Common Stock	3,336		3,336	D	
Stock Option (Right to Buy)	\$3.95								05/14/2021		(7)	Common Stock	15,679		15,679	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			te of Securities			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (Right to Buy)	\$3.61	06/30/2020		A		3,756		06/30/2020	06/28/2030	Common Stock	3,756	\$0 <sup>(8)</sup>	3,756	D	

## **Explanation of Responses:**

- 1. Limited partnership units of Vaccinex Products, LP, which are presently exchangeable into shares of Common Stock on a 1-for-10 basis at the holder's option.
- 2. Mr. Frieberg's spouse is the owner of Benbow Estates, Ltd. and Mr. Frieberg is an officer of Benbow Estates, Ltd. Mr. Frieberg disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein.
- 3. Partnership interests in VX3 (DE) LP, or VX3, which are presently exchangeable into shares of Common Stock on a 1-for-0.05495 basis at the holder's option. On August 13, 2018, Gee Eff Services Limited entered into an exchange agreement with the Issuer and the other partners of VX3 that provides each VX3 partner the right to exchange all, but not less than all, of its partnership interests in VX3 for shares of Common Stock. The option has no expiration date.
- 4. Mr. Frieberg is the sole owner and President of Gee Eff Services Limited.
- 5. Exercisable in full as of the date of this report.
- 6. This option expires on May 14, 2029 or five years following retirement or cessation of services, whichever occurs first.
- 7. This option expires on May 12, 2030 or five years following retirement or cessation of services, whichever occurs first.
- 8. Pursuant to the Issuer's Director Compensation Program, in a transaction exempt under Rule 16b-3, the reporting person elected to receive these options in lieu of \$8,750 for retainer and meeting fees during the second quarter of 2020. The number of options was calculated in accordance with the Black-Scholes valuation model and all such options are immediately exercisable.

## Remarks:

/s/ Scott E. Royer, Attorney-in-07/02/2020 Fact for Jacob B. Frieberg

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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