FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

washington,	D.C.	2054

2. Issuer Name **and** Ticker or Trading Symbol <u>VACCINEX, INC.</u> [ VCNX ]

OMB APPROVAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

X Director

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

10% Owner

5. Relationship of Reporting Person(s) to Issuer (Check all applicable)

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

1. Name and Address of Reporting Person\*

Frieberg Jacob B.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Last) (First) (Middle) C/O VACCINEX, INC. 1895 MOUNT HOPE AVENUE						3. Date of Earliest Transaction (Month/Day/Year) 06/25/2021								Officer (give title Other (specify below) below)				
(Street) ROCHES (City)	STER N	Y	14620 (Zip)		4. If Amendment, Date of Original Filed (Month/Day/Year)								Line	Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person				
		Tab	le I - Nor	า-Deriv	ative	Se	curit	ies Ac	quired,	Disp	osed o	f, or Be	neficiall	y Owned				
1. Title of Security (Instr. 3)			2. Transa Date (Month/D		ay/Year) i		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		ies Acquire Of (D) (Ins	ed (A) or tr. 3, 4 and	5. Amou Securitie Beneficia Owned F Reported	es ally Following	Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
									Code	v	Amount (A) o		Price	Transact	Transaction(s) (Instr. 3 and 4)			
Common	Stock			06/25/2021		L			С		4,420	A	(1)	57,	286	D		
Common Stock				06/25	6/25/2021				С		4,420	A	(1)	4,4	4,420		Es	enbow states, td. <sup>(3)</sup>
Common Stock			06/25	/25/2021				С		4,121	. <b>A</b>	(2)	4,	4,121		Ei Se	y Gee ff ervices imited <sup>(4)</sup>	
		7	Table II -											Owned				
1. Title of Derivative Security (Instr. 3)  2. Conversion or Exercise Price of Derivative Security  3. Transaction Date (Month/Day/Year) (Month/Day (Month/Day) (Month/Day)		ed 4. Date, Transactio Code (Inst		ction	5. Number of		6. Options, C 6. Date Exercis Expiration Date (Month/Day/Ye		of Securitie		d Amount ies g Security	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4)	Owner Form: Direct or Indi (I) (Ins	(D) rect	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				c	ode	v	(A)	(D)	Date Exercisab		expiration Date	Title	Amount or Number of Shares					
Option (Right to Acquire) <sup>(1)</sup>	(1)	06/25/2021			С			44,200	(1)		(1)	Common Stock	4,420	(1)	0	D		
Option (Right to Acquire) <sup>(1)</sup>	(1)	06/25/2021			С			44,200	(1)		(1)	Common Stock	4,420	(1)	0	I	- 1	By Benbow Estates, Ltd. <sup>(3)</sup>
Option (Right to Acquire) <sup>(2)</sup>	(2)	06/25/2021			С			75,000	(2)		(2)	Common Stock	4,121	(2)	0	I		By Gee Eff Services Limited <sup>(4)</sup>
Stock Option (Right to Buy)	\$13.6								(5)	0	9/15/2027	Common Stock	6,396		6,396	5 D		
Stock Option (Right to Buy)	\$5.26								(5)	0	3/30/2029	Common Stock	2,501		2,501	. D		
Stock Option (Right to Buy)	\$7.78								(5)		(6)	Common Stock	7,718		7,718	3 D		
Stock Option (Right to Buy)	\$5.52								(5)	0	6/29/2029	Common Stock	2,385		2,385	5 D		
Stock Option (Right to Buy)	\$7.17								(5)	0	9/27/2029	Common Stock	1,856		1,856	5 D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (Right to Buy)	\$4.85							(5)	12/28/2029	Common Stock	2,737		2,737	D	
Stock Option (Right to Buy)	\$4							(5)	03/29/2030	Common Stock	3,336		3,336	D	
Stock Option (Right to Buy)	\$3.95							05/14/2021	(7)	Common Stock	15,679		15,679	D	
Stock Option (Right to Buy)	\$3.61							(5)	06/28/2030	Common Stock	3,756		3,756	D	
Stock Option (Right to Buy)	\$1.78							(5)	09/28/2030	Common Stock	7,626		7,626	D	
Stock Option (Right to Buy)	\$2.07							(5)	12/29/2030	Common Stock	6,545		6,545	D	
Stock Option (Right to Buy)	\$2.98							(5)	03/31/2031	Common Stock	4,535		4,535	D	
Stock Option (Right to Buy)	\$2.15							05/11/2022	(8)	Common Stock	28,586		28,586	D	

## Explanation of Responses:

- 1. Limited partnership units of Vaccinex Products, LP were exchanged into shares of Common Stock on a 1-for-10 basis.
- 2. Partnership interests in VX3 (DE) LP, or VX3 were exchanged into shares of Common Stock on a 1-for-0.05495 basis.
- 3. Mr. Frieberg's spouse is the owner of Benbow Estates, Ltd. and Mr. Frieberg is an officer of Benbow Estates, Ltd. Mr. Frieberg disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein.
- 4. Mr. Frieberg is the sole owner and President of Gee Eff Services Limited.
- 5. Exercisable in full as of the date of this report.
- 6. This option expires on May 14, 2029 or five years following retirement or cessation of services, whichever occurs first.
- 7. This option expires on May 12, 2030 or five years following retirement or cessation of services, whichever occurs first.
- $8. \ This \ option \ expires \ on \ May \ 9, \ 2031 \ or \ five \ years \ following \ retirement \ or \ cessation \ of \ services, \ whichever \ occurs \ first.$

## Remarks:

/s/ Scott E. Royer, Attorney-in-Fact for Jacob B. Frieberg 06/28/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.