SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Crane Alan L	2. Date of Event Requiring Stater (Month/Day/Yea 08/09/2018	ment	3. Issuer Name <b>and</b> Ticker or Tra VACCINEX, INC. [ VC					
(Last) (First) (Middle) C/O VACCINEX, INC.			4. Relationship of Reporting Person(s) (Check all applicable) X Director 10				. If Amendment, Date of Original Filed Month/Day/Year)	
1895 MOUNT HOPE AVENUE	_		Officer (give title below)	below) App		Applicable L	ndividual or Joint/Group Filing (Check blicable Line)	
(Street) ROCHESTER NY 14620	_					Form	-	y One Reporting Person y More than One erson
(City) (State) (Zip)								
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4) or Indirect (I) (Instr. 5)		ct(D)   (I	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Table II - Derivative Securities Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exerce Expiration Da (Month/Day/)	ate	3. Title and Amount of Secur Underlying Derivative Securi		4. Convers or Exerc	cise   Form	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	n Title	Amount or Number of Shares	Price of Derivati Security	ve or Ind	direct	
Stock Option (Right to Buy)	(1)	03/06/2023	3 Common Stock	41,000	14.9	) ]	D	
Explanation of Responses:								

1. Exercisable in full as of the date of this report.

**Remarks:** 

/s/ Scott E. Royer, Attorney-in-01/18/2019 Fact for Alan L. Crane

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.