SEC Form 4
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL								
OMB Number: 3235-02								
Estimated average burden								
hours per response:	0.5							

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

						UI 560	300130	0(11) 01	uie inv	vesime		ipany	ACT OF 194	+0							
1. Name and Address of Reporting Person* FRIEDBERG ALBERT						2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>VACCINEX, INC.</u> [ VCNX ]										5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner					
(Last) 181 BAY SUITE 2	STREET	(1.1.2.)						Date of Earliest Transaction (Month/Day/Year) 3/27/2020									er (give title /)		Other ( below)	(specify	
SUITE 2	.50				4.	If Ame	endme	ent, Da	ate of O	riginal	Filed (	Month	/Day/Year	r)			Joint/Group	Filing (C	heck Ap	plicable	
(Street) TORON	το Α	6	M5J 2T3										Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person								
(City)	(S	itate)	(Zip)																		
		Ta	able I - Noi	n-Deriv	vativ	ve S	ecur	ities	Acqu	uired,	Dis	ose	d of, or	Bene	ficiall	y Owned	1				
			2. Transaction Date (Month/Day/Year			2A. Deemed Execution Date if any (Month/Day/Yea		Date,	Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a			(A) or 3, 4 and	Benefi	ties cially I Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
										Code	v	Amo	unt	(A) or (D)	Price		ction(s) 3 and 4)				
Common	Stock															4	39,555		D		
Common	Stock															3	7,633		I	By Pan- Atlantic Bank & Trust Ltd. <sup>(1)</sup>	
Common Stock															583,333		I		By Global Macro Hedge Fund Ltd. <sup>(2)</sup>		
Common Stock 0				03/2	7/20	7/2020				Р		4,(	,000 <sup>(3)</sup> A		\$4.1	8 7,6	7,625,601		I	By FCMI Parent Co. <sup>(4)</sup>	
Common Stock 03/30				0/20	0/2020			Р		5,0	5,000 <sup>(3)</sup> A		\$4.0	6 7,6	7,630,601		I	By FCMI Parent Co. <sup>(4)</sup>			
			Table II -										of, or E ertible s			Owned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date 3A. Deemed 4. 5. Number 6.   Exercise ice of rivative (Month/Day/Year) if any (Month/Day/Year) 4. 5. Number 6.		Expiration	6. Date Exercisable and Expiration Date (Month/Day/Year) 7. Title and Amount Securities Underlyin Derivative Security (Instr. 3 and 4)					lying	8. Price of 9. Nur Derivative deriva Security (Instr. 5) Benef Owne Follow Repo Trans. (Instr.		e Ownership 5 Form: Ily Direct (D) or Indirect (I) (Instr. 4)		11. Nature o Indirect Beneficial Ownership (Instr. 4)						
				Co	ode	v	(A)	(D)	Date Exerc	isable	Expii Date	ration	Title	Amou Numb Share	er of						
Option (Right to Acquire) <sup>(5)</sup>	(5)								(5) (5		5)	Common Stock 1,180,0		0,051		21,475,00		I	By FCMI Parent Co. <sup>(4)</sup>		
Option (Right to Acquire) <sup>(6)</sup>	(6)								(	6)	(	6)	Common Stock	<sup>1</sup> 967	,983		9,679,83	3	I	By FCMI Financial Corporation	
	nd Address of BERG A	Reporting Person <sup>*</sup> LBERT																			
(Last) 181 BAY SUITE 2	STREET	(First)	(Middle	9)																	
(Street) TORON	ТО	A6	M5J 2	2T3																	
(City) (State) (Zip)																					

<u>FCMI Parent Co.</u>								
(Last)	(First)	(Middle)						
181 BAY STRE	ET							
SUITE 250								
(Street)								
TORONTO	A6	M5J 2T3						
(City)	(State)	(Zip)						

## Explanation of Responses:

1. Mr. Friedberg is the majority owner of Pan Atlantic Bank & Trust Ltd. and disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein.

2. Mr. Friedberg possesses voting and dispositive power over the reported securities and disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein.

3. The purchases reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by FCMI Parent Co. on January 23, 2019.

4. Mr. Friedberg is the majority owner, a director and the president of FCMI Parent Co. and disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein. 5. Partnership interests in VX3 (DE) LP, or VX3, which are exchangeable into shares of Common Stock on a 1-for-0.05495 basis at the holder's option. On August 13, 2018, FCMI Parent Co. entered into an exchange agreement with the Issuer and the other partners of VX3 that provides each VX3 partner the right to exchange all, but not less than all, of its partnership interests in VX3 for shares of Common Stock. The option has no expiration date.

6. Limited partnership units of Vaccinex Products, LP, which are exchangeable into shares of Common Stock on a 1-for-10 basis at the holder's option.

7. FCMI Financial Corp. is a wholly-owned subsidiary of FCMI Parent Co. Mr. Friedberg disclaims beneficial ownership of these shares except to the extent of his pecuniary interests therein. Remarks:

> /s/ Scott E. Royer, Attorney-in-03/30/2020 Fact for Albert D. Friedberg FCMI Parent Co. By: /s/ Dan 03/30/2020 Scheiner, Vice President \*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.