## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP	2

OMB APP	ROVAL
OMP Number:	2225 02

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APP	ROVAL						
OMB Number:	3235-0287						
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	nd Address of BERG A	Reporting Person* LBERT					ne <b>and</b> T <u>EX, I</u>				mbol					c all appl Direct	tor	g Perso X	10% O	wner
(Last) 181 BAY SUITE 2	STREET	First)	(Middle)		03/13/	2020			`			ır) /Day/Yea	ır)	-	Indiv	below	er (give title r) Joint/Group	Filing	below)	
(Street)	го А	.6	M5J 2T3	,			ong Dan	0.0				, <u>D</u> uy, 100	,		ine)	Form	filed by One	e Repo	rting Perso	n
(City)	(S	State)	(Zip)																	
		Ta	able I - Non	ı-Deriv	ative S	ecui	rities <i>l</i>	Acqu	uired,	Disp	ose	d of, o	r Bene	ficia	lly (	Owned	l	1		
1. Title of \$	Security (Inst	tr. 3)		2. Trans Date (Month/	action Day/Year)	Exec if an	Deemed cution Da y nth/Day/\		3. Transa Code ( 8)	(Instr.	Dispo	curities A osed Of (	(A) or	3, 4 an		Report	ies cially Following	Form (D) or	vnership n: Direct r Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common	Stock								Code	٧	Amo	unt	(D)	Price		(Instr. 3	3 and 4) 39,555		D	
Common																	7,633		I	By Pan- Atlantic Bank & Trust Ltd. <sup>(1)</sup>
Common	Stock															58	33,333		I	By Global Macro Hedge Fund Ltd. <sup>(2)</sup>
Common	Stock			03/13	3/2020				P		3,0	)00 <sup>(3)</sup>	A	\$4	.28	7,6	08,561		I	By FCMI Parent Co. <sup>(4)</sup>
Common	Stock			03/16	5/2020				P		60	00 <sup>(3)</sup>	A	\$4	.15	7,6	09,161		I	By FCMI Parent Co. <sup>(4)</sup>
Common	Stock			03/17	7/2020				P		3,4	440 <sup>(3)</sup>	A	\$	54	7,6	12,601		I	By FCMI Parent Co. <sup>(4)</sup>
			Table II - I	Deriva (e.g., n	tive Se	curit	ties Ad	cqui	red, E	Dispo	sed	of, or	Benefi securi	ciall	y Oı	wned				1
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Dar if any (Month/Day/Ye	te, Tra	unsaction de (Instr.	5. Nof Deri Sec Acq (A) Con Disp of (I	umber ivative urities uired or oosed D) tr. 3, 4	6. Dat	te Exerc ation Da th/Day/Y	isable ate		7. Title a	and Amou es Under ve Secur	unt of lying	De Se	Price of erivative ecurity estr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	/ D	.0. Ownership Form: Ownered (D) Or Indirect I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Co	de V	(A)		Date Exerc	isable	Expir Date	ation	Title	Amou Numb Share							
Option (Right to Acquire)(5) Option (Right to Acquire)(6)	(5)								5)	(5		Commo Stock	1,10	0,051	ı		21,475,00	+	I	By FCMI Parent Co. <sup>(4)</sup> By FCMI Financial Corporation <sup>(7)</sup>
1. Name ar	nd Address of	Reporting Person*																		

FRIEDBERG A	<u>LBERT</u>	
(Last) 181 BAY STREET SUITE 250	(First)	(Middle)
(Street) TORONTO	A6	M5J 2T3
(City)	(State)	(Zip)
1. Name and Address of	Reporting Person*	
(Last) 181 BAY STREET	-	(Middle)
(Last)	<u>).</u>	(Middle)  M5J 2T3

## **Explanation of Responses:**

- 1. Mr. Friedberg is the majority owner of Pan Atlantic Bank & Trust Ltd. and disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein.
- 2. Mr. Friedberg possesses voting and dispositive power over the reported securities and disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein.
- 3. The purchases reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by FCMI Parent Co. on January 23, 2019.
- 4. Mr. Friedberg is the majority owner, a director and the president of FCMI Parent Co. and disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein.
- 5. Partnership interests in VX3 (DE) LP, or VX3, which are exchangeable into shares of Common Stock on a 1-for-0.05495 basis at the holder's option. On August 13, 2018, FCMI Parent Co. entered into an exchange agreement with the Issuer and the other partners of VX3 that provides each VX3 partner the right to exchange all, but not less than all, of its partnership interests in VX3 for shares of Common Stock. The option has no expiration date.
- 6. Limited partnership units of Vaccinex Products, LP, which are exchangeable into shares of Common Stock on a 1-for-10 basis at the holder's option.
- 7. FCMI Financial Corp. is a wholly-owned subsidiary of FCMI Parent Co. Mr. Friedberg disclaims beneficial ownership of these shares except to the extent of his pecuniary interests therein.

## Remarks:

/s/ Scott E. Royer, Attorney-in-Fact for Albert D. Friedberg FCMI Parent Co. By: /s/ Dan

03/17/2020

Scheiner, Vice President

03/17/2020

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

 $Note: File three \ copies \ of \ this \ Form, \ one \ of \ which \ must \ be \ manually \ signed. \ If \ space \ is \ insufficient, \ see \ Instruction \ 6 \ for \ procedure.$ 

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.